

## Introduction to Transformations

- Introduce 3D affine transformation:
  - Position (translation)
  - Size (scaling)
  - Orientation (rotation)
  - Shapes (shear)
- Previously developed 2D (x,y)
- Now, extend to 3D or (x,y,z) case
- Extend transform matrices to 3D
- Enable transformation of points by multiplication

## Point Representation

- Previously, point in 2D as column matrix

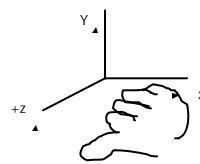
$$\begin{pmatrix} x \\ y \end{pmatrix} \longrightarrow \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

- Now, extending to 3D, add z-component:

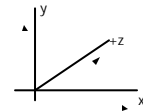
$$\begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} \quad \text{or} \quad P = \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

## 3D Coordinate Systems

- All perpendicular:  $X \times Y = Z$ ;  $Y \times Z = X$ ;  $Z \times X = Y$ ;
- Tip: sweep fingers x-y; thumb is z



Right hand coordinate system



Left hand coordinate system  
•Not used in this class and  
•Not in OpenGL

### Transforms in 3D

- 2D: 3x3 matrix multiplication
- 3D: 4x4 matrix multiplication: homogenous coordinates
- Recall: transform object = transform each vertex
- General form:

$$M = \begin{pmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ 0 & 0 & 0 & 1 \end{pmatrix} \xrightarrow{\text{Xform of } P} \begin{pmatrix} Q_x \\ Q_y \\ Q_z \\ 1 \end{pmatrix} = M \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

### 3x3 2D Translation Matrix

•Previously, 2D :

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

### 3x3 2D Translation Matrix

•Now, 3D :

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \\ t_z \end{pmatrix}$$

OpenGL:  
glTranslated(tx,ty,tz)

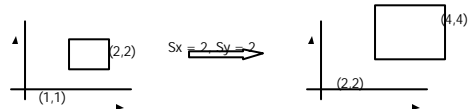
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

•Where:  $x' = x \cdot 1 + y \cdot 0 + z \cdot 0 + tx \cdot 1 = x + tx$ , ... etc

### 2D Scaling

•Scale: Alter object size by scaling factor ( $s_x, s_y$ ). i.e

$$\begin{matrix} x' = x \cdot S_x \\ y' = y \cdot S_y \end{matrix} \Rightarrow \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} S_x & 0 \\ 0 & S_y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



### 3x3 2D Scaling Matrix

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} S_x & 0 \\ 0 & S_y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

### 4x4 3D Scaling Matrix

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

- Example:
- If  $S_x = S_y = S_z = 0.5$
- Can scale:
  - big cube (sides = 1) to small cube (sides = 0.5)
- 2D: square, 3D cube

**OpenGL:**  
glScaled( $S_x, S_y, S_z$ )

### Rotation

$(x, y) \rightarrow$  Rotate about the origin by  $\theta$

►  $(x', y')$

How to compute  $(x', y')$  ?

$$\begin{aligned} x &= r \cos(\phi) & y &= r \sin(\phi) \\ x' &= r \cos(\phi + \theta) & y' &= r \sin(\phi + \theta) \end{aligned}$$

### Rotation

Using trig identity

$$\begin{aligned} x' &= x \cos(\theta) - y \sin(\theta) \\ y' &= y \cos(\theta) + x \sin(\theta) \end{aligned}$$

Matrix form?

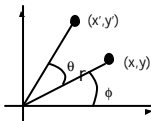
$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

3 x 3?

### 3x3 2D Rotation Matrix

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

↓

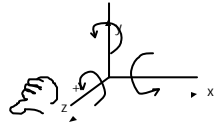
$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$


### Rotating in 3D

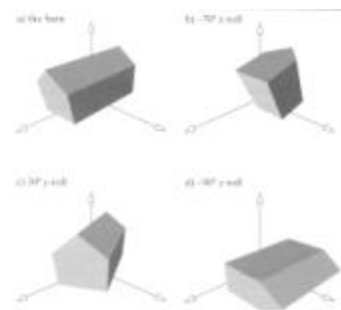
- Cannot do mindless conversion like before
- Why?
  - Rotate about what axis?
  - 3D rotation: about a defined axis
  - Different Xform matrix for:
    - Rotation about x-axis
    - Rotation about y-axis
    - Rotation about z-axis
- New terminology
  - X-roll: rotation about x-axis
  - Y-roll: rotation about y-axis
  - Z-roll: rotation about z-axis

### Rotating in 3D

- New terminology
  - X-roll: rotation about x-axis
  - Y-roll: rotation about y-axis
  - Z-roll: rotation about z-axis
- Which way is +ve rotation
  - Look in -ve direction (into +ve arrow)
  - CCW is +ve rotation



### Rotating in 3D



### Rotating in 3D

- For a rotation angle,  $b$  about an axis
- Define:

$$c = \cos(b) \quad s = \sin(b)$$

A x-roll:

$$R_x(b) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c & -s & 0 \\ 0 & s & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{OpenGL:} \quad \text{glrotated}(q, \text{ } 1, 0, 0)$$

### Rotating in 3D

A y-roll:

$$R_y(b) = \begin{pmatrix} c & 0 & s & 0 \\ 0 & 1 & 0 & 0 \\ -s & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{OpenGL:} \quad \text{glrotated}(q, 0, 1, 0)$$

Rules:

- Rotate row, column int. is 1
- Rest of row/col is 0
- c,s in rect pattern

A z-roll:

$$R_z(b) = \begin{pmatrix} c & -s & 0 & 0 \\ s & c & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{OpenGL:} \quad \text{glrotated}(q, 0, 0, 1)$$

### Rotating in 3D

Q: Using y-roll equation, rotate  $P = (3,1,4)$  by 30 degrees:

A:  $c = \cos(30) = 0.866$ ,  $s = \sin(30) = 0.5$ , and

$$Q = \begin{pmatrix} c & 0 & s & 0 \\ 0 & 1 & 0 & 0 \\ -s & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 3 \\ 1 \\ 4 \\ 1 \end{pmatrix} = \begin{pmatrix} 4.6 \\ 1 \\ 1.964 \\ 1 \end{pmatrix}$$

E.g. first line:  $3.c + 1.0 + 4.s + 1.0 = 4.6$

### Rotating in 3D

Q: Write C code to Multiply point  $P = (Px, Py, Pz, 1)$  by a 4x4 matrix shown below to give new point  $Q = (Qx, Qy, Qz, 1)$ . i.e.

where

$$\begin{pmatrix} Q_x \\ Q_y \\ Q_z \\ 1 \end{pmatrix} = M \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix} \quad \text{where} \quad M = \begin{pmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

### Rotating in 3D

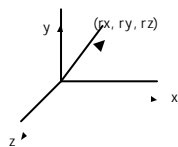
- Outline of solution:
  - Declare  $P, Q$  as array:
    - Double  $P[4], Q[4]$ ;
  - Declare transform matrix as 2-dimensional array
    - Double  $M[4][4]$ ;
  - Remember: C indexes from 0, not 1
  - Long way:
    - Write out equations line by line expression for  $Q[i]$
    - E.g.  $Q[0] = P[0]*M[0][0] + P[1]*M[0][1] + P[2]*M[0][2] + P[3]*M[0][3]$
  - Cute way:
    - Use indexing, say  $i$  for outer loop,  $j$  for inner loop

### Rotating in 3D

- Using loops looks like:
  - for( $i=0; i<4; i++$ )
    - {
    - temp = 0;
    - for( $j=0; j<4; j++$ )
    - {
    - temp +=  $P[j]*M[i][j]$ ;
    - }
    - $Q[i] = temp$ ;
    - }
- Test matrix code rigorously
- Use known results (or by hand) and plug into your code

### 3D Rotation About Arbitrary Axis

- Arbitrary rotation axis ( $rx, ry, rz$ )
- OpenGL: rotate( $\theta, rx, ry, rz$ )
- Without OpenGL: a little hairy!!
- Important: read Hill pp. 239-241



### 3D Rotation About Arbitrary Axis

- Can compose arbitrary rotation as combination of:
  - X-roll
  - Y-roll
  - Z-roll

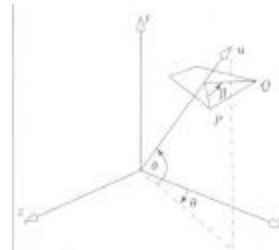
$$M = R_z(b_3)R_y(b_2)R_x(b_1)$$

### 3D Rotation About Arbitrary Axis

- Classic: use Euler's theorem
- Euler's theorem: any sequence of rotations = one rotation about some axis
- Our approach:
  - Want to rotate  $\beta$  about the axis  $\mathbf{u}$  through origin and arbitrary point
  - Use two rotations to align  $\mathbf{u}$  and x-axis
  - Do x-roll through angle  $\beta$
  - Negate two previous rotations to de-align  $\mathbf{u}$  and x-axis

### 3D Rotation About Arbitrary Axis

$$R_{\mathbf{u}}(\mathbf{b}) = R_y(-q)R_z(f)R_x(\mathbf{b})R_z(-f)R_y(q)$$



### Composing Transformation

- Composing transformation – applying several transforms in succession to form one overall transformation
- Example:
 
$$\mathbf{M1} \times \mathbf{M2} \times \mathbf{M3} \times \mathbf{P}$$
 where M1, M2, M3 are transform matrices applied to P
- Be careful with the order
- Matrix multiplication is not commutative

### References

- Hill, chapter 5.3