

## Sliding Window Protocols

- Frames are numbered.
- Lost frames can be retransmitted.
- Duplicate frames can be deleted.
- Out of order frames can be reordered.

The sender maintains two variables:

- $S_L$  = the number of the “oldest” frame sent, but not ACK’ed.
- $S_U$  = the number of the next frame to send that has not ever been sent.
- $S_U - S_L$  = the size of the sender’s window (the number of sending buffers needed)

The receiver maintains two variables:

- $R_L$  = lowest numbered frame the receiver is willing to accept
- $R_U$  = one more than the highest numbered frame the receiver is willing to accept.
- $R_U - R_L$  = size of receiver’s window.

## Algorithm

Rough algorithm of the sliding window protocols:

1. Transmit all frames in the sender’s window (no more than from  $S_L$  to  $S_{U-1}$ )
2. Whenever the receiver gets a frame in its window:
  - (a) it generates an ACK for the highest frame correctly received (same as the frame for protocol 5).
  - (b) if the frame  $R_L$  has been received it passes  $R_L$  to the host and bumps  $R_L$  and  $R_U$  (advances the window).
3. Whenever the receiver gets a damaged frame or a frame not within its window it generates a NAK for one less than the frame expected ( $R_L - 1$ ) (only for protocol 6).

- Whenever the sender receives an ACK for a frame within its window, it marks that frame as having been correctly sent and received. If  $S_L$  is ACKed then increment  $S_L$  and  $S_U$  (advance the sender's window) and transmit  $S_{U-1}$  (last previously unsent frame).
- Whenever a timer goes off, retransmit the corresponding frame.

## Relationships

Sequence numbers:  $0..(2^n - 1)$

$$S_L < S_U, R_L < R_U$$

$$\text{Steady state condition: } R_L \leq S_U$$

$$\text{Interval of active frames: } [S_L, R_U)$$

$$\text{So } R_U - S_L \leq 2^n \text{ and } (R_U - R_L) + (S_U - S_L) \leq 2^n$$

where  $n$  is the number of bits in the sequence number Two cases:

- Receiver window size of one (protocol 5):

$$R_U - R_L = 1$$

$$(S_U - S_L) + 1 \leq 2^n$$

$$(S_U - S_L) \leq 2^n - 1$$

- Receiver and sender have equal window sizes (protocol 6):

$$R_U - R_L = S_U - S_L = W$$

$$2W \leq 2^n$$

$$W \leq 2^{n-1}$$

## Protocol 6 Example

Situation:

- Sender sends sequence numbers 0-3.
- Receiver has ACKed 0-3 (advancing window), but sender has not received the ACKs.

