





Motivation – Disk Functionality (2 of 2)

- Questions that quickly arise
 - How do you find information?
 - How to map blocks to files?
 - How do you keep one user from reading another's data?
 - How do you know which blocks are free?

Solution? File Systems



File Systems

- Abstraction to disk (convenience)
 - "The only thing friendly about a disk is that it has persistent storage."
 - Devices may be different: tape, USB, IDE/SCSI, NFS
- Users
 - don't care about implementation details
 - care about interface
- OS
 - cares about implementation (efficiency and robustness)

File System Concepts

- *Files* store the data
- Directories organize files
- Partitions separate collections of directories (also called "volumes")
 - all directory information kept in partition
 - mount file system to access
- Protection allow/restrict access for files, directories, partitions

Files: The User's Point of View

- Naming: how does user refer to it?
- Example: blah, BLAH, Blah
 - Does case matter?
 - Users often don't distinguish, and in much of Internet no difference (e.g., email), but sometimes (e.g., URL path)
 - Windows: generally case doesn't matter, but is preserved
 - Linux: generally case matters
- Example: file.c, file.com
 - Does extension matter?
 - Software may distinguish (e.g., compiler for . ${\tt cpp},$ Windows Explorer for application association)
 - Windows: explorer recognizes extension for applications
 - Linux: extension ignored by system, but software may use defaults



Type and Access

- Type:
 - ascii human readable
 - binary computer only readable
 - Allowed operations/applications (e.g., executable, c-file ...) (via "magic number" or extension)
- Access Method:
 - sequential (for character files, an abstraction of I/O of serial device such as modem)
 - random (for block files, an abstraction of I/O to block device such as a disk)

C	
Comi	mon Attributes
Attribute	Meaning
Protection	Who can access the file and in what way
Password	Password needed to access the file
Creator	ID of the person who created the file
Owner	Current owner
Read-only flag	0 for read/write; 1 for read only
Hidden flag	0 for normal; 1 for do not display in listings
System flag	0 for normal files; 1 for system file
Archive flag	0 for has been backed up; 1 for needs to be backed up
ASCII/binary flag	0 for ASCII file; 1 for binary file
Random access flag	0 for sequential access only; 1 for random access
Temporary flag	0 for normal; 1 for delete file on process exit
Lock flags	0 for unlocked; nonzero for locked
Record length	Number of bytes in a record
Key position	Offset of the key within each record
Key length	Number of bytes in the key field
Creation time	Date and time the file was created
Time of last access	Date and time the file was last accessed
Time of last change	Date and time the file was last changed
Current size	Number of bytes in the file
Maximum size	Number of bytes the file may grow to

System Calls for Files

- Create
- Delete
- SeekGet attributes
- Truncate
- Set attributes
- ate
- Rename
- Open Read
- Write
- Append

Example: Program to Copy File

/* File copy program. Error checking and reporting is minimal. */

#include <sys/types.h> /* include necessary header files */ #include <fcntl.h> #include <stdlib.h> #include <stdlib.h>

/* ANSI prototype */

/* use a buffer size of 4096 bytes */

/* protection bits for output file */

int main(int argc, char *argv[]);

#define BUF_SIZE 4096 #define OUTPUT_MODE 0700

int main(int argc, char *argv[])

int in_fd, out_fd, rd_count, wt_count; char buffer[BUF_SIZE];

if (argc != 3) exit(1); /* syntax error if argc is not 3 */

/* Open the input file and create the output file */ in_file open(argv[1], O_RDONLY); /* open the source file */ if (in_id <0) wit(2); /* if it cannot be opened, exit */ out.file creat(argv[2], OUTPUT_MODE); /* create the destination file */ if (out.file <0) exit(3); /* if it cannot be created, exit */

Example: Program to Copy File









Example: Windows CreateFile()

 Returns file object handle: HANDLE CreateFile (lpFileName, // name of file dwDesiredAccess, // read-write dwShareMode, // shared or not lpSecurity, // permissions

)...

 File objects used for all: files, directories, disk drives, ports, pipes, sockets and console

File System Layout • BIOS reads in program ("bootloader", e.g., grub) in Master Boot Record (MBR) in fixed location on disk • MBR has partition table (start, end of each partition) Bootloader reads first block ("boot block") of partition Boot block knows how to read next block and start OS · Rest can vary. Often "superblock" with details on file system - Type, number of blocks,... Entire disk MBR (or GPT see next) Boot block Superblock Free space mgmt I-nodes Root dir Files and dire

MBR vs. GPT

- MBR = Master Boot Record
- GPT = Guid Partition Table
- Both help OS know partition structure of hard disk
- Linux default GPT (must use Grub 2), but can use MBR
- Mac default GPT. Can run on MBR disk, but can't install on it
- Windows 64-bit support GPT. Windows 7 default MBR, but Windows 8 default GPT



GUID Partition Table (GPT)

- · Newest standard
- GUID = globally unique identifiers
- Unlimited partitions (but most OS limit to 128)
- Since 64-bit, 1 billion TB partitions (Windows limit 256 TB)
- · Backup table stored at end
- CRC32 checksums to detect errors
- Protective MBR layer for apps that don't know about GPT





Example - Linux (1 of 3) Each task_struct describes a process /* /usr/include/Linux/sched.h */ struct task_struct { volatile long state; long counter; long priority; ... struct files_struct *files; ... }

Example - Linux (2 of 3) The files_struct data structure describes files process has open /* /usr/include/Linux/fs.h */ struct files_struct { int count; fd_set close_on_exec; fd_set open_fds; struct file *fd[NR_OPEN]; };

Example – Linux (3 of 3)

• Each open file is represented by a file data structure

struct file {

};

mode_t f_mode; loff_t f_pos; unsigned short f_flags; unsigned short f_count; unsigned long f_reada, f_ramax, f_raend, f_ralen, f_rawin; struct file *f_next, *f_prev; int f_owner; struct inode *f_inode; /* file descriptor */ struct file_operations *f_op; unsigned long f_version; void *private_data;



Contiguous Allocation (1 of 2) Store file as contiguous block ex: w/ 1K block, 50K file has 50 consec. blocks File A: start 0, length 2 File B: start 14, length 3 Good: Easy: remember location with 1 number Fast: read entire file in 1 operation (length) Bad: Static: need to know file size at creation Or tough to grow! Fragmentation: remember why we had paging in memory?































Possible Implementation ("soft link")

- III. Have new type of file to redirect?
 - New file only contains alternate name for file
 - Overhead, must parse tree second time
 - Soft link (or symbolic link)
 - Note, *shortcut* in Windows only viewable by graphic browser, are absolute paths, with metadata, can track even if move
 - Does have mklink (hard and soft) for NTFS
 - Often have max link count in case loop (show example)
 - What about soft link across partitions?

Robust File Systems

- Consider removing a file
 - a. Remove file from directory entry
 - b. Return all disk blocks to pool of free disk blocks
 - c. Release the file descriptor (i-node) to the pool of free descriptors
- What if system crashes in the middle?
 - i-node becomes orphaned (lost+found, 1 per partition)
 - if flip steps, blocks/descriptor free but directory entry exists
 - This is worse can access blocks unintentionally!
- Solution? → Journaling File Systems

Journaling File Systems

- Write intent to do actions a-c to log *before* starting

 Note, may read back to verify integrity
- 2. Perform operations
- 3. Erase log
- If system crashes, when restart read log and apply operations
- Logged operations must be idempotent (can be repeated without harm)
- Windows: NTFS; Linux: Ext3

Outline

- Files (done)
- Directories
 (done)
- Disk space management (next)
- Misc
- Example systems

Disk Space Management

- *n* bytes \rightarrow choices:
 - 1. contiguous
 - 2. blocks
- Similarities with memory management
 - contiguous is like variable-sized partitions
 - but compaction by moving on disk very slow!
 - so use blocks
 - blocks are like paging (can be wasted space)
 how to choose block size?
- (Note, physical disk block size typically 512 bytes, but file system logical block size chosen when formatting)
- · Depends upon size of files stored

File Sizes in Practice (1 of 2)

Length	VU 1984	VU 2005	Web	Length	VU 1984	VU 2005	Web
1	1.79	1.38	6.67	16 KB	92.53	78.92	86.79
2	1.88	1.53	7.67	32 KB	97.21	85.87	91.65
4	2.01	1.65	8.33	64 KB	99.18	90.84	94.80
8	2.31	1.80	11.30	128 KB	99.84	93.73	96.93
16	3.32	2.15	11.46	256 KB	99.96	96.12	98.48
32	5.13	3.15	12.33	512 KB	100.00	97.73	98.99
64	8.71	4.98	26.10	1 MB	100.00	98.87	99.62
128	14.73	8.03	28.49	2 MB	100.00	99.44	99.80
256	23.09	13.29	32.10	4 MB	100.00	99.71	99.87
512	34.44	20.62	39.94	8 MB	100.00	99.86	99.94
1 KB	48.05	30.91	47.82	16 MB	100.00	99.94	99.97
2 KB	60.87	46.09	59.44	32 MB	100.00	99.97	99.99
4 KB	75.31	59.13	70.64	64 MB	100.00	99.99	99.99
8 KB	84.97	69.96	79.69	128 MB	100.00	99.99	100.00

• (VU – University circa 2005, Web – Commercial Web server 2005)

• Files trending larger. But most small. What are the tradeoffs?

Tanenbaum, Modern Operating Systems 3 e, (c) 2008 Prentice-Hall, Inc. All rights reserved. 0-13-6006639



Choosing Block Size

- Large blocks
 - faster throughput, less seek time, more data per read
 - wasted space (internal fragmentation)
- Small blocks
 - less wasted space
 - more seek time since more blocks to access same data







Keeping Track of Free Blocks

- a) Linked list of free blocks
 - 1K block, 32 bit disk block number
 - = 255 free blocks/block (one points to next block)
 - 500 GB disk has 488 millions disk blocks
 - About 1,900,000 1 KB blocks
- b) Bitmap of free blocks
 - 1 bit per block, represents free or allocated
 - 500 GB disk needs 488 million bits
 - About 60,000 1 KB blocks



- Bitmap usually smaller since 1-bit per block rather than 32 bits per block
- Only if disk is nearly full does linked list require fewer blocks
- If enough RAM, bitmap method preferred since provides locality, too
- If only 1 "block" of RAM, and disk is full, bitmap method may be inefficient since have to load multiple blocks to find free space
 - linked list can take first in line

File System Performance

- DRAM ~5 nanoseconds, Hard disk ~5 milliseconds

 Disk access 1,000,000x slower than memory!
 →reduce number of disk accesses needed
- Block/buffer cache
 - cache to memory
- Full cache? Replacement algorithms use: FIFO, LRU, 2nd chance ...
 - exact LRU can be done (why?)
- Pure LRU inappropriate sometimes
 - crash w/i-node can lead to inconsistent state
 - some rarely referenced (double indirect block)

Modified LRU

- Is the block likely to be needed soon?
 if no, put at beginning of list
- Is the block essential for consistency of file system?
 - write immediately
- Occasionally write out all

 sync





Partitions: fdisk Partition is large group of sectors allocated for specific purpose - IDE disks limited to 4 Disk physical partitions logical (extended) partition inside physical partition • Specify number of cylinders to use Specify type "magic" number recognized by OS ("System Reserved" partition for Windows contains OS (Show example?) boot code and code to do HDD decryption, if set)





Defragmenting (Example, 2 of 2)





Outline	
 Files Directories Disk space management Misc Example systems Linux Windows 	(done) (done) (done) (done) (next)



Linux File System: ext3fs

- "Extended" (from Minix) file system, version 2

 (Minix a Unix-like teaching OS by Tanenbaum)
- ext2fs
 - Long file names, long files, better performance
 - Main for many years
- ext3fs
 - Fully compatible with ext2
 - Adds journaling
- ext4fs
 - Extents (for free space management)
 - Pre-reserved, multi-block allocation
 - Better timestamp granularity



Linux File System: i-nodes (2 of 2)

Mode 2 File type, protection bits, setuid, setgid bits Ninks 2 Number of directory entries pointing to this i-node Uid 2 UID of the file owner Gid 2 GID of the file owner Size 4 File size in bytes Addr 60 Address of first 12 disk blocks, then 3 indirect blocks Gen 1 Generation number (incremented every time i-node is reused Atime 4 Time the file was last accessed Mtime 4 Time the file was last changed (except the other times)	Bytes	Description	
Ninks 2 Number of directory entries pointing to this i-node Uid 2 UID of the file owner Gid 2 GID of the file owner Size 4 File size in bytes Addr 60 Address of first 12 disk blocks, then 3 indirect blocks Gen 1 Generation number (incremented every time i-node is reused Atime 4 Time the file was last accessed Mtime 4 Time the file was last modified Ctime 4 Time the i-node was last changed (except the other times)	2	File type, protection bits, setuid, setgid bits	
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Atime 4 Time the file was last accessed Mtime 4 Time the file was last modified Ctime 4 Time the i-node was last changed (except the other times)	1	Generation number (incremented every time i-node is reused)	
Mtime 4 Time the file was last modified Ctime 4 Time the i-node was last changed (except the other times)	4	Time the file was last accessed	
Ctime 4 Time the i-node was last changed (except the other times)	4	Time the file was last modified	
	4	Time the i-node was last changed (except the other times)	
		Bytes 2 2 2 4 60 1 4 4 4	











Windows NT File System: NTFS

- Background: Windows had FAT
- FAT-16, FAT-32
 - 16-bit addresses, so limited disk partitions (2 GB)
 - 32-bit can support 2 TB
 - No security
- NTFS default in Win XP and later
 - 64-bit addresses

NTFS: Fundamental Concepts

- File names limited to 255 characters
- Full paths limited to 32,000 characters
- File names in unicode (other languages, 16bits per character)
- Case sensitive names ("Foo" different than "FOO")
 - But Win32 API does not fully support



NTFS: Fundamental Concepts

- File not sequence of bytes, but multiple attributes, each a stream of bytes
- Example:
 - One stream name (short)
 - One stream id (short)
 - One stream data (long)
 - But can have more than one long stream
- Streams have metadata (e.g., thumbnail image)
- Streams fragile, and not always preserved by utilities over network or when copied/backed up

NTFS: Fundamental Concepts

- Hierarchical, with "\" as component separator
 - Throwback for MS-DOS to support CP/M microcomputer OS
- Supports links, but only for POSIX subsystem

NTFS: File System Structure

- Basic allocation unit called a *cluster* (block)
 - Sizes from 512 bytes to 64 Kbytes (most 4 KBytes)
 - Referred to by offset from start, 64-bit number
- Each volume has Master File Table (MFT)
 - Sequence of 1 KByte records
 - Bitmap to keep track of which MFT records are free
- Each MFT record
 - Unique ID MFT index, and "version" for caching and consistency
 - Contains attributes (name, length, value)
 - If number of extents small enough, whole entry stored in MFT (faster access)
- Bitmap to keep track of free blocks
- · Extents to keep clusters of blocks











