

Big O , Ω , Θ

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Orders of Growth: Big O

If f, g are functions $\mathbb{R}^+ \rightarrow \mathbb{R}^+$, then

$$f \in O(g)$$

means, for some N_0 and multiplicative constant c ,
for every $n > N_0$

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If f, g are functions $\mathbb{R}^+ \rightarrow \mathbb{R}^+$, then

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Ω is just the **converse** of O
 $f \in \Omega(g)$ means $g \in O(f)$

Orders of Growth: Θ

If f, g are functions $\mathbb{R}^+ \rightarrow \mathbb{R}^+$, then

$$f \in \Theta(g)$$

means, for some N_0 and multiplicative constants c_0, c_1 ,
for every $n > N_0$

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$$f \in \Theta(g) \quad \text{is just} \quad f \in O(g) \text{ and } g \in O(f)$$

School multiplication

Input size: Number of digits in m and n (or bits)

Example: Input size 6

$$\begin{array}{r} 238 \\ 652 \\ \hline 476 \\ 1190 \\ 1428 \\ \hline 155176 \end{array}$$

Some Properties of O, Θ (1)

O, Θ Reflexive:

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Θ Symmetric:

$$f \in \Theta(g) \text{ implies } g \in \Theta(f)$$

Some properties of O, Θ (2)

O, Θ Additive

$$f, g \in O(h) \text{ implies } f(x) + g(x) \in O(h(x))$$

$$f, g \in \Theta(h) \text{ implies } f(x) + g(x) \in \Theta(h(x))$$

$$f(x) + g(x) \in \Theta(\max(f(x), g(x)))$$

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$$f(x) + g(x) \in \Theta(\max(f(x), g(x)))$$

O, Θ Preserved under scalar multiplication

$$f \in O(g) \text{ implies } c \cdot f \in O(g)$$

$$f \in \Theta(g) \text{ implies } c \cdot f \in \Theta(g)$$

Θ Equivalence Classes

- Θ gathers functions into **equivalence classes**

$\Theta(g)$ gathers all the fns
that grow about as fast as g

- Every fn f belongs to one class

namely $\Theta(f)$

- If two classes overlap, they're identical

$f \in \Theta(g)$ and $f \in \Theta(h)$
implies $\Theta(h) = \Theta(g)$

⊖ Equivalence Classes: Upper and Lower Bounds

Define $\max(f, g) = h_{\max}$ and

$\min(f, g) = h_{\min}$

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So

$$f, g \in \Omega(h_{\min}) \quad \text{and} \quad f, g \in O(h_{\max})$$

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So

$$f, g \in \Omega(h_{\min}) \quad \text{and} \quad f, g \in O(h_{\max})$$

$\Theta(h_{\min})$ is a **greatest lower bound** for $\Theta(f), \Theta(g)$

$\Theta(h_{\max})$ is a **least upper bound** for $\Theta(f), \Theta(g)$

Θ Equivalence Classes are Dense

Suppose that

$$f \in O(g) \quad \text{but} \quad f \notin \Theta(g)$$

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Then

$$f \in O(h) \quad \text{and} \quad h \in O(g)$$

But

$$f \notin \Theta(h) \quad \text{and} \quad h \notin \Theta(g)$$

Proof: $f \in O(h)$ and $h \in O(g)$

- 1 Suppose for all $x > N_0$, $f(x) \leq c \cdot g(x)$
- 2 Multiplying through by $f(x)$: $(f(x))^2 \leq c \cdot f(x) \cdot g(x)$
taking square roots,

$$f(x) \leq \sqrt{c} \cdot (f(x) \cdot g(x))^{1/2} = \sqrt{c} \cdot h(x)$$

So $f \in O(h)$

- 3 Multiplying through by $g(x)$: $f(x) \cdot g(x) \leq c \cdot (g(x))^2$
taking square roots,

$$h(x) = (f(x) \cdot g(x))^{1/2} \leq \sqrt{c} \cdot g(x)$$

So $h \in O(g)$

Proof: $f \notin \Theta(h)$

- ① If $f \in \Theta(h)$, then for $x > N_0$:

$$(f(x) \cdot g(x))^{1/2} \leq c \cdot f(x)$$

- ② Squaring:

$$f(x) \cdot g(x) \leq c^2 \cdot (f(x))^2$$

- ③ Dividing through:

$$g(x) \leq c^2 \cdot f(x)$$

- ④ Hence $g \in O(f)$, contrary to assumption

Proof: $h \notin \Theta(g)$

- ① If $h \in \Theta(g)$, then for $x > N_0$:

$$g(x) \leq c \cdot (f(x) \cdot g(x))^{1/2}$$

- ② Squaring,

$$(g(x))^2 \leq c^2 \cdot (f(x) \cdot g(x))$$

- ③ Dividing through

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$O(2^n)$ Largest independent set in a graph of size n

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$O(1)$ Vector ref

$O(\log n)$ Binary search

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$O(2^n)$ Largest independent set in a graph of size n

$O(n!)$ Generate all permutations of n

Find the Order of Growth

An Exercise

- Multiply m, n by the school method
- Given an alg. \mathcal{A} , an input i , and a bound k , check if \mathcal{A} halts on i before step k
- Factor n by trial division
- Given n and a claimed prime factorization, check correctness
- Given a formula, search for a proof of it in set theory

Has \mathcal{A} terminated on i by step k ?

Input size: Total width w (in bits) of \mathcal{A} and integers i, k

Worst case strategy: Let k use most input bits, $k \sim 2^w$

Effect: Often have to wait 2^w steps to see

So: Exponential

Big- O , Big- Θ

- $f \in \Theta(g)$ clusters functions into **equivalence classes**
- Big- O determines a **partial ordering**
 - ▶ Greatest lower bounds and least upper bounds exist
 - ▶ Ordering is dense
- Big- O ordering: **implementation-independent** estimate of cost